Risk & Compliance Framework

Risk Identification and Assessment

AADR identifies and assesses potential risks including reputational, regulatory, legal, financial, and operational.

AADR leadership, AADR Standards Committee, and member companies

Compliance Management

AADR establishes standards that go above and beyond federal and state rules and regulations, and industry best practices.

AADR leadership, AADR Standards Committee, and AADR Head of Compliance

Governance

AADR's structure, processes, and decision-making guide risk and compliance across the debt resolution industry.

AADR leadership, AADR Committees, and AADR Head of Compliance

Policies & Procedures

AADR creates policies, procedures, guidelines and standards outlining the industry approach to risk mgmt. and compliance.

AADR leadership, AADR Standards Committee, and AADR Head of Compliance

Monitoring & Reporting

AADR accreditation and annual audit along with ad hoc member company reviews, reported out annually.

AADR independent auditor, AADR Head of Compliance, and AADR Head of Administration

Training & Awareness

AADR communications, events and activities are designed to impart risk and compliance knowledge.

AADR staff, member leadership, and industry subject matter experts

Continuous Improvement

AADR continuously improves by reviewing internal and external environments, documents, and structure, and is adaptable.

AADR leadership, AADR Standards Committee, and member companies

